



PRIOR APPROVAL

Non-Covered State Medicaid Plan Services Request Form
for Recipients under 21 Years Old

Definitions of the Federal Medicaid services can be found in the Code of Federal Regulations 42 CFR 440.1-440.170 at:
https://www.gpo.gov/fdsys/pkg/CFR-2011-title42-vol4/pdf/CFR-2011-title42-vol4-sec440-170.pdf

This form may be found at http://www.nctracks.nc.gov

This form MUST accompany your Prior Approval request for EPSDT consideration via submission through provider portal, fax or mail.
DO NOT send this form to CSRA without an accompanying Prior Approval request. It will not be processed without a Prior Approval Request.

Upload the completed form to the Prior Approval request in NC Tracks, fax it to 855-710-1964 or mail it to CSRA PO Box 30009, Raleigh, NC 27622-8009. You may use additional sheets to supply any other information you think would be helpful.
Include evidence-based literature, if available.

I. Recipient Information: This must be completed by a physician, licensed clinician, or other provider.

Name: \_\_\_\_\_

Date of Birth: \_\_\_/\_\_\_/\_\_\_ (mm/dd/yyyy) Medicaid ID Number: \_\_\_\_\_

Address:
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

II. Medical Necessity: All requested information, including CPT and HCPCS codes, if applicable, as well as provider information, must be completed. Please submit medical records that support medical necessity.

Requestor Name: \_\_\_\_\_ Provider Name: \_\_\_\_\_

NPI: \_\_\_\_\_ NPI: \_\_\_\_\_

Address: \_\_\_\_\_ Address: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

Telephone: (\_\_\_\_)\_\_\_\_-\_\_\_\_ Telephone: (\_\_\_\_)\_\_\_\_-\_\_\_\_

Fax: (\_\_\_\_)\_\_\_\_-\_\_\_\_ Fax: (\_\_\_\_)\_\_\_\_-\_\_\_\_

Requested procedure, product or service: \_\_\_\_\_ CPT/HCPCS code: \_\_\_\_\_/\_\_\_\_\_

**In what capacity have you treated the recipient? (Include how long you have cared for the recipient and the nature of the care.)**

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**What is the recipient's health history? (Include chronic illness.)**

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**What is/are the recent diagnosis(es) related to this request? (Include the onset and course of the disease and the recipient's current status.)**

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**What treatment has been given for the diagnosis(es) above? (Include previous and current treatment regimens, duration, treatment goals, and the recipient's response to treatment(s).)**

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**Please provide a description of how the requested procedure, product or service will correct or ameliorate the recipient's defect, physical or mental illness, or condition (the problem.)** This description *must* include a detailed discussion about how the service, product, or procedure will improve or maintain the recipient's health in the best condition possible, compensate for a health problem, prevent it from worsening, or prevent the development of additional health problems.

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**Is this request for an experimental or investigational treatment?**  Yes  No

If yes, provide name and protocol number: \_\_\_\_\_

**Is the requested product, service, or procedure considered to be safe?**  Yes  No

If no, please explain. \_\_\_\_\_

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**Is the requested product, service or procedure effective?**  Yes  No

If no, please explain. \_\_\_\_\_

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**Are there alternatives to the product, procedure, or service requested that would be more cost effective but similarly medically effective?**     Yes     No

If yes, specify what alternatives are appropriate for the recipient and provide evidence base with this request, if available. \_\_\_\_\_

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**What is the expected duration of treatment?** \_\_\_\_\_

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**Requestor's Signature & Credentials**

\_\_\_\_\_  
**Date**